

DECEMBES ON ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 1-6 2013

Maine Ethics Commission

Mail: 135 State House Station, Augusta, Maine 04333-0135 Office: 45 Memorial Circle, Augusta, Maine

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STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2012 Calendar Year: January 1, 2012 - December 31, 2012

Please file this statement with the <u>Maine Ethics Commission</u>. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations. A glossary is located in the back of this form.

General Instructions

- Complete all sections. If a section is not applicable, check the box marked "None."
- · Report only specific sources of income. Dollar amounts do not need to be reported.
- If completing this form by hand, please write legibly.

Name Sandra.J.Harper	Job Title Associate Commissioner, Administrative Phone (work) 207-624-7802		
Department of Administrative and Financial Services			
Mailing Address (work) 74 SHS, Augusta, ME 04333	E-mail Address (work) Sandra.J.Harper@Maine.gov		

REPORT TYPE (please see below)					
☐ Initial	☑Annual	Update	Final		

Reporting Deadlines

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed..

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

Updating Statement

An executive employee shall file an updated statement concerning the current calendar year if the income, reportable liabilities or positions of the executive employee or an immediate family member, excluding dependent children, substantially change from those disclosed in the employee's most recent statement. Substantial changes include, but are not limited to:

- a new employer that has paid the employee/immediate family member \$2,000 or more during the current year,
- a source of income that has provided the employee/immediate family member with income that totals \$2,000 or more
 during the current year, and
- the acceptance of a new position with a for-profit or nonprofit firm or political action or ballot question committee.

The executive employee shall file the updated statement within 30 days of the substantial change in income, reportable liabilities or positions.

Part 1. Income from E	Employment	by Anot	her				
None. Check this	box if you did	not have	e income fro	m employm	ent by a	nother.	
Name of Employer		Address Principal Type of Ecol Business Activity of E		nomic or Employer	Job Title		
·							•
Part 2. Income from S	Self-Employm	nent				<u> </u>	
✓ None. Check this I	· · · · · · · · · · · · · · · · · · ·		e income fro	m self-emp	loyment.	i	
Name of Your Business/T				ress			/pe of Economic or Business Activity
Name of Olivet or Overland	:	· · · · · · · · · · · · · · · · · · ·	A.11				
Name of Client or Customer, instructions)	if required (see		Add	ess		Principal Ty	pe of Economic or Business Activity of Client
							·
Part 3. Revenue of Bu	usiness Entit	ies					
✓ None. Check this t	box if you and	your im	mediate fan	nily did not h	nave a m	najority share	e in a business.
Name of Busines	ss		Addi	ess		Principal Ty	pe of Economic or Business Activity
					·		
Part 4. Income from the	he Practice o	f Law					
✓ None. Check this b	oox if you did	not have	income fro	m the practi	ce of lav	v.	
Name of Practice or Firm			Your Major Areas of Firm's		Major Areas of Position: Partner, Practice Associate, Sole Practitioner		
M							

Part 5. Income from Any Other Sou	rce	
None. Check this box if you did no	ot have income from any other source.	
Name of Source	Address	Type of Income
1	<u> </u>	
Part 6-A. Compensation Income of I	Immediate Family Members	
employment or compensation.	ers of your immediate family received in	_
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Robert J Harper, II	Maine Disability Rights Center 24 Stone Street, Augusta , ME 04338	Rights Advocacy
Robert J Harper II	University of Maine at Augusta 46 University Drive, Augusta, ME 04330	Education
Part 6-B. Other Sources of Income of	of Immediate Family Members	
None. Check this box if no member other source.	ers of your immediate family received in	come of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income

None. Check this box if you did not have a	eportable liabilities.			
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender		
Part 8. Gifts, Including Travel and Accom	modations			
$\boxed{m \checkmark}$ None. Check this box if you did not recei	ved any gifts.			
Source of Gift		Source of Gift		
1.	2.			
		4.		
3.	4.			
Part 9. Honoraria None. Check this box if you did not receive				
Part 9. Honoraria	ved honoraria.	urce of Honoraria		
Part 9. Honoraria ✓ None. Check this box if you did not receive	ved honoraria.	urce of Honoraria		
Part 9. Honoraria ✓ None. Check this box if you did not received Source of Honoraria	ved honoraria.	urce of Honoraria		
Part 9. Honoraria None. Check this box if you did not receive Source of Honoraria 1.	ved honoraria. So 2.	urce of Honoraria		
Part 9. Honoraria None. Check this box if you did not receive Source of Honoraria 1. 3. Part 10. Positions in Political Action or Bal	ved honoraria. So 2. 4.			
Part 9. Honoraria None. Check this box if you did not receive Source of Honoraria 1.	ved honoraria. So 2. 4.			
Part 9. Honoraria None. Check this box if you did not receive Source of Honoraria 1. 3. Part 10. Positions in Political Action or Bal None. Check this box if you were not a tree.	ved honoraria. So 2. 4.	r fundraiser of a PAC or BQC.		
Part 9. Honoraria ✓ None. Check this box if you did not receive Source of Honoraria 1. 3. Part 10. Positions in Political Action or Bal ✓ None. Check this box if you were not a tree Name of Committee	ved honoraria. So 2. 4.	r fundraiser of a PAC or BQC.		

Part 11. Conducting Business wit	th State Agencies				
None. Check this box if neither y	ou nor your immed	liate family did busin	ess with any State	agency.	
Name of Agency	Name of Individual/Organization Selling Goods or Services		Description of Good or Services		
Part 12. Representing Others before	ore State Agencie	S			
None. Check this box if neither y	ou nor your immed	iate family represent	ed another before	a State agency.	
Name of Agency		Name of Ind	ividual Receiving C	Compensation	
	•			1124	
Part 13. Positions in For-Profit an	d Non-Profit Orga	nizations			
None. Check this box if you and non-profit organizations.			t hold positions in a	any for-profit or	
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No	
			□Self □Spouse □Dependent	☐ Yes ☐ No	
			□Self □Spouse □Dependent	☐ Yes ☐ No	
			☐Self ☐Spouse ☐Dependent	☐ Yes ☐ No	
	SIGN	ATURE			
			F MY KNOWELDG	E IT IS TRUE,	
Signature //	LING OF A FALSE STATE	EMENT IS A CLASS E CRIN	/ Da	áte .	
			- (3 10(1))		